

A NOTE ON ENDPOINT L^p -CONTINUITY OF WAVE OPERATORS FOR CLASSICAL AND HIGHER ORDER SCHRÖDINGER OPERATORS

M. BURAK ERDOĞAN AND WILLIAM R. GREEN

ABSTRACT. We consider the higher order Schrödinger operator $H = (-\Delta)^m + V(x)$ in n dimensions with real-valued potential V when $n > 2m$, $m \in \mathbb{N}$. We adapt our recent results for $m > 1$ to show that the wave operators are bounded on $L^p(\mathbb{R}^n)$ for the full the range $1 \leq p \leq \infty$ in both even and odd dimensions without assuming the potential is small. The approach used works without distinguishing even and odd cases, captures the endpoints $p = 1, \infty$, and somehow simplifies the low energy argument even in the classical case of $m = 1$.

1. INTRODUCTION

We consider equations of the form

$$i\psi_t = (-\Delta)^m \psi + V\psi, \quad x \in \mathbb{R}^n, \quad m \in \mathbb{N}.$$

When $m = 1$ this is the classical Schrödinger equation. Here V is a real-valued potential with polynomial decay, $|V(x)| \lesssim \langle x \rangle^{-\beta}$ for some sufficiently large $\beta > 0$. We denote the free operator by $H_0 = (-\Delta)^m$ and the perturbed operator by $H = (-\Delta)^m + V$. We study the L^p boundedness of the wave operators, which are defined by

$$W_{\pm} = s - \lim_{t \rightarrow \pm\infty} e^{itH} e^{-itH_0}.$$

The wave operators are of interest in scattering theory. For the classes of potentials V we consider, the wave operators exist and are asymptotically complete, [12, 14, 1, 8, 13]. In addition, we have the intertwining identity

$$f(H)P_{ac}(H) = W_{\pm} f((-\Delta)^m) W_{\pm}^*.$$

Here $P_{ac}(H)$ is the projection onto the absolutely continuous spectral subspace of H , and f is any Borel function. This allows one to deduce L^p -based mapping properties of operators of the form $f(H)P_{ac}(H)$ from those of the much simpler operators $f((-\Delta)^m)$.

The first author was partially supported by the NSF grant DMS-2154031 and Simons Foundation Grant 634269. The second author is partially supported by Simons Foundation Grant 511825.

The usual starting point to study the L^p boundedness of the wave operators is the stationary representation

$$(1) \quad W_+ u = u - \frac{1}{2\pi i} \int_0^\infty \mathcal{R}_V^+(\lambda) V [\mathcal{R}_0^+(\lambda) - \mathcal{R}_0^-(\lambda)] u d\lambda,$$

where $\mathcal{R}_V(\lambda) = ((-\Delta)^m + V - \lambda)^{-1}$, $\mathcal{R}_0(\lambda) = ((-\Delta)^m - \lambda)^{-1}$, and the ‘+’ and ‘-’ denote the usual limiting values as λ approaches the positive real line from above and below, [1, 4]. It suffices to consider W_+ as $W_- = \mathcal{C}W_+\mathcal{C}$, where $\mathcal{C}u(x) = \bar{u}(x)$ is the conjugation operator. Noting that the identity operator is bounded for all $1 \leq p \leq \infty$, one needs only control the contribution of the integral involving the resolvent operators.

Using resolvent identity, we write

$$\mathcal{R}_V^+ = \sum_{j=0}^{2k-1} (-1)^j \mathcal{R}_0^+ (V \mathcal{R}_0^+)^j + (\mathcal{R}_0^+ V)^k \mathcal{R}_V^+ (V \mathcal{R}_0^+)^k.$$

We denote the contribution of the j th term of the finite sum to (1) by W_j and the contribution of the remainder by $W_{r,k}$. To study the L^p boundedness of $W_{r,k}$ we need to consider whether λ is in a neighborhood of zero or not. To that end, let $\chi \in C_0^\infty$ be a smooth cut-off function for a sufficiently small neighborhood of zero, with $\tilde{\chi} = 1 - \chi$ the complementary cut-off away from zero. We define

$$W_{low,k} u = \frac{1}{2\pi i} \int_0^\infty \chi(\lambda) (\mathcal{R}_0^+(\lambda) V)^k \mathcal{R}_V^+(\lambda) (V \mathcal{R}_0^+(\lambda))^k V [\mathcal{R}_0^+(\lambda) - \mathcal{R}_0^-(\lambda)] u d\lambda,$$

$$W_{high,k} u = \frac{1}{2\pi i} \int_0^\infty \tilde{\chi}(\lambda) (\mathcal{R}_0^+(\lambda) V)^k \mathcal{R}_V^+(\lambda) (V \mathcal{R}_0^+(\lambda))^k V [\mathcal{R}_0^+(\lambda) - \mathcal{R}_0^-(\lambda)] u d\lambda.$$

Throughout the paper, we write $\langle x \rangle$ to denote $(1 + |x|^2)^{\frac{1}{2}}$, $A \lesssim B$ to say that there exists a constant C with $A \leq CB$, and write $a- := a - \epsilon$ and $a+ := a + \epsilon$ for some $\epsilon > 0$. Our main result is

Theorem 1.1. *Let $n > 2m \geq 2$. Assume that $|V(x)| \lesssim \langle x \rangle^{-\beta}$, where V is a real-valued potential on \mathbb{R}^n and $\beta > n + 4$ when n is odd and $\beta > n + 3$ when n is even. Also assume that zero is a regular point of the spectrum of H . Then $W_{low,k}$ extends to a bounded operator on $L^p(\mathbb{R}^n)$ for all $1 \leq p \leq \infty$ provided that k is sufficiently large.*

In fact the proof we supply works for all k if $2m < n < 4m$. We need sufficiently large k when $n \geq 4m$ due to local singularities of the free resolvents. We note that this result is new only in the endpoint cases $p = 1, \infty$ when $m > 1$ and $n > 2m$ even. The main novelty is that our method applies to all cases $n > 2m, m \geq 1, 1 \leq p \leq \infty$ in one self-contained argument, see Proposition 2.1 below.

To put this result in the context we recall that the first L^p boundedness result is the seminal paper of Yajima, [15], for $m = 1$. By controlling the Born series terms, the result was shown to hold for all $1 \leq p \leq \infty$ for small potentials. To remove this smallness assumption, the main difficulty is in controlling the contribution of $W_{low,k}$. The behavior of this operator differs in even and odd dimensions. In

[15, 16, 17], Yajima provided arguments that removed smallness or positivity assumptions on the potential for all dimensions $n \geq 3$. Yajima later simplified these arguments and considered the effect of zero energy eigenvalues and/or resonances in [18] when n is odd and with Finco in [5] when n is even for $n > 4$.

We now give more details in the case $m > 1$ to state the new corollary of our result above on the L^p boundedness of wave operators. Let H^δ be the Sobolev space of functions with $\|\langle \cdot \rangle^\delta \mathcal{F}(f)\|_2 < \infty$, where $\mathcal{F}(f)$ denotes the Fourier transform of f .

Assumption 1.2. *For some $0 < \delta \ll 1$, assume that the real-valued potential V satisfies the condition*

- i) $\|\langle \cdot \rangle^{\frac{4m+1-n}{2}+\delta} V(\cdot)\|_2 < C$ when $2m < n < 4m - 1$,
- ii) $\|\langle \cdot \rangle^{1+\delta} V(\cdot)\|_{H^\delta} < C$ when $n = 4m - 1$,
- iii) $\|\mathcal{F}(\langle \cdot \rangle^\sigma V(\cdot))\|_{L^{\frac{n-1-\delta}{n-2m-\delta}}} < C$ for some $\sigma > \frac{2n-4m}{n-1-\delta} + \delta$ when $n > 4m - 1$.

In [3], by adapting Yajima's $m = 1$ argument in [15], it was shown that the contribution of the terms of the Born series may be bounded by

$$\|W_j\|_{L^p \rightarrow L^p} \leq C^j \|V\|_{n,m}^j,$$

where $\|V\|_{n,m}$ denotes the norm used in Assumption 1.2 when $m > 1$ for the different ranges of n considered. In addition, it was shown that if $|V(x)| \lesssim \langle x \rangle^{-\beta}$ for some $\beta > n + 5$ when n is odd and $\beta > n + 4$ when n is even and if k is sufficiently large (depending on m and n), then $W_{high,k}$ is a bounded operator on L^p for all $1 \leq p \leq \infty$.

Combining these facts with Theorem 1.1, we have the following result which is new in the case n is even.

Corollary 1.3. *Fix $m > 1$ and let $n > 2m$. Assume that V satisfies Assumption 1.2 and in addition*

- i) $|V(x)| \lesssim \langle x \rangle^{-\beta}$ for some $\beta > n + 5$ when n is odd and for some $\beta > n + 4$ when n is even,
- ii) $H = (-\Delta)^m + V(x)$ has no positive eigenvalues and zero energy is regular.

Then, the wave operators extend to bounded operators on $L^p(\mathbb{R}^n)$ for all $1 \leq p \leq \infty$.

By applying the intertwining identity and the known $L^1 \rightarrow L^\infty$ dispersive bound of the free solution operator $e^{-it(-\Delta)^m}$ and for $(-\Delta)^{\frac{n(m-1)}{2}} e^{-it(-\Delta)^m}$, we obtain the corollary below. The second bound below was observed in [2] for the free operator, and was used to obtain counterexamples for the L^p boundedness of wave operators.

Corollary 1.4. *Under the assumptions of Corollary 1.3, we obtain the global dispersive estimates*

$$\begin{aligned} \|e^{-itH} P_{ac}(H)f\|_\infty &\lesssim |t|^{-\frac{n}{2m}} \|f\|_1, \\ \|H^{\frac{n(m-1)}{2m}} e^{-itH} P_{ac}(H)f\|_\infty &\lesssim |t|^{-\frac{n}{2}} \|f\|_1. \end{aligned}$$

The L^p boundedness of the wave operators in the higher order $m > 1$ case has only recently been studied. The first result was in the case of $m = 4$ and $n = 3$ by Goldberg and the second author, [6]. Here the wave operators were shown to be bounded on $1 < p < \infty$. More recently in [3], we proved $1 \leq p \leq \infty$ boundedness in the cases when $n > 2m$ and $m > 1$ for small potentials or n odd for large potentials. In [11], Mizutani, Wan, and Yao considered the case of $m = 4$ and $n = 1$ showing that the wave operators are bounded when $1 < p < \infty$, but not when $p = 1, \infty$, where weaker estimates involving the Hardy space or BMO were proven. This recent work on higher order, $m > 1$, Schrödinger operators has roots in the work of Feng, Soffer, Wu and Yao [4] which considered time decay estimates between weighted L^2 spaces.

The paper is organized as follows. In Section 2 we collect facts about resolvent operators needed to prove Theorem 1.1. In Section 3 we establish the main technical tool, Proposition 2.1. In Section 4 we prove several technical lemmas which, in particular, show that Proposition 2.1 implies Theorem 1.1.

2. RESOLVENT EXPANSIONS

In this section we lay the groundwork to prove the low energy result, Theorem 1.1. It is convenient to use a change of variables to represent $W_{low,k}$ as

$$\frac{m}{\pi i} \int_0^\infty \chi(\lambda) \lambda^{2m-1} (\mathcal{R}_0^+(\lambda^{2m})V)^k \mathcal{R}_V^+(\lambda^{2m})(V\mathcal{R}_0^+(\lambda^{2m}))^k V [\mathcal{R}_0^+(\lambda^{2m}) - \mathcal{R}_0^-(\lambda^{2m})] d\lambda$$

We begin by using the symmetric resolvent identity on the perturbed resolvent $\mathcal{R}_V^+(\lambda^{2m})$. With $v = |V|^{\frac{1}{2}}$, $U(x) = 1$ if $V(x) \geq 0$ and $U(x) = -1$ if $V(x) < 0$, we define $M^+(\lambda) = U + v\mathcal{R}_0^+(\lambda^{2m})v$. Recall that M^+ is invertible on L^2 in a sufficiently small neighborhood of $\lambda = 0$ provided that zero is a regular point of the spectrum. Using the symmetric resolvent identity, one has

$$\mathcal{R}_V^+(\lambda^{2m})V = \mathcal{R}_0^+(\lambda^{2m})vM^+(\lambda)^{-1}v.$$

We select the cut-off χ to be supported in this neighborhood. Therefore, we have

$$W_{low,k} = \frac{m}{\pi i} \int_0^\infty \chi(\lambda) \lambda^{2m-1} \mathcal{R}_0^+(\lambda^{2m})v\Gamma_k(\lambda)v[\mathcal{R}_0^+(\lambda^{2m}) - \mathcal{R}_0^-(\lambda^{2m})] d\lambda,$$

where $\Gamma_0(\lambda) := M^+(\lambda)^{-1}$ and for $k \geq 1$

$$(2) \quad \Gamma_k(\lambda) := Uv\mathcal{R}_0^+(\lambda^{2m})(V\mathcal{R}_0^+(\lambda^{2m}))^{k-1}vM^+(\lambda)^{-1}v(\mathcal{R}_0^+(\lambda^{2m})V)^{k-1}\mathcal{R}_0^+(\lambda^{2m})vU.$$

To state the main result of this section, we define an operator $T : L^2 \rightarrow L^2$ with integral kernel $T(x, y)$ to be absolutely bounded if the operator with kernel $|T(x, y)|$ is bounded on L^2 .

Proposition 2.1. *Fix $n > 2m \geq 2$ and let Γ be a λ dependent absolutely bounded operator. Let*

$$\tilde{\Gamma}(x, y) := \sup_{0 < \lambda < \lambda_0} \left[|\Gamma(\lambda)(x, y)| + \sup_{1 \leq k \leq \lceil \frac{n}{2} \rceil + 1} |\lambda^{k-1} \partial_\lambda^k \Gamma(\lambda)(x, y)| \right].$$

For $2m < n < 4m$ assume that $\tilde{\Gamma}$ is bounded on L^2 , and for $n \geq 4m$ assume that $\tilde{\Gamma}$ satisfies

$$(3) \quad \tilde{\Gamma}(x, y) \lesssim \langle x \rangle^{-\frac{n}{2}-} \langle y \rangle^{-\frac{n}{2}-}.$$

Then the operator with kernel

$$(4) \quad K(x, y) = \int_0^\infty \chi(\lambda) \lambda^{2m-1} [\mathcal{R}_0^+(\lambda^{2m}) v \Gamma(\lambda) v [\mathcal{R}_0^+(\lambda^{2m}) - \mathcal{R}_0^-(\lambda^{2m})]](x, y) d\lambda$$

is bounded on L^p for $1 \leq p \leq \infty$ provided that $\beta > n$.

Note that Theorem 1.1 follows from this proposition and the following

Lemma 2.2. Fix $n > 2m \geq 2$. Assume that $|V(x)| \lesssim \langle x \rangle^{-\beta}$, where $\beta > n + 4$ when n is odd and $\beta > n + 3$ when n is even. Also assume that zero is a regular point of the spectrum of H . Then the operator $\Gamma_k(\lambda)$ defined in (2) satisfies the hypothesis of Proposition 2.1 for all k when $2m < n < 4m$ and for all sufficiently large k when $n \geq 4m$.

We prove Proposition 2.1 in Section 3, and provide the argument for Lemma 2.2 in Section 4. To prove these results we need the following representations of the free resolvent given in Lemmas 3.2 and 6.2 in [3].

Lemma 2.3. Let $n > 2m \geq 2$. Then, we have

$$\mathcal{R}_0^+(\lambda^{2m})(y, u) = \frac{e^{i\lambda|y-u|}}{|y-u|^{n-2m}} F(\lambda|y-u|).$$

When $r \gtrsim 1$, we have $|F^{(N)}(r)| \lesssim r^{\frac{n+1}{2}-2m-N}$ for all N . When $r \ll 1$ and n is odd, we have $|F^{(N)}(r)| \lesssim 1$ for all N . When $r \ll 1$ and n is even, we have

$$|F^{(N)}(r)| \lesssim \begin{cases} 1 & N = 0, 1, \dots, 2m-1, \\ |\log(r)| & N = 2m, \\ r^{2m-N}, & N > 2m. \end{cases}$$

These estimates won't suffice for our purposes; we also need to take advantage of cancellation in the difference $\mathcal{R}_0^+(\lambda^{2m}) - \mathcal{R}_0^-(\lambda^{2m})$.

Lemma 2.4. Let $n > 2m \geq 2$. We have

$$[\mathcal{R}_0^+(\lambda^{2m}) - \mathcal{R}_0^-(\lambda^{2m})](y, u) = \lambda^{n-2m} [e^{i\lambda|y-u|} F_+(\lambda|y-u|) + e^{-i\lambda|y-u|} F_-(\lambda|y-u|)],$$

where F_\pm are C^∞ functions on \mathbb{R} satisfying for all $j \geq 0$, $r \in \mathbb{R}$

$$|\partial_r^j F_\pm(r)| \lesssim \langle r \rangle^{\frac{1-n}{2}-j}.$$

Proof. By the splitting identity, we have

$$(5) \quad [\mathcal{R}_0^+ - \mathcal{R}_0^-](\lambda^{2m})(y, u) = \frac{1}{m\lambda^{2m-2}} [R_0^+ - R_0^-](\lambda^2)(y, u).$$

Since, $[R_0^+ - R_0^-](\lambda^2)$ is a multiple of the imaginary part of R_0^+ . Since this may be expressed as a multiple of $(\frac{\lambda}{|y-u|})^{\frac{n-2}{2}} J_{\frac{n-2}{2}}(\lambda|y-u|)$ with $J_{\frac{n-2}{2}}$ a Bessel function of the first kind, we have

$$[\mathcal{R}_0^+ - \mathcal{R}_0^-](\lambda^{2m})(y, u) = C_{m,n} \lambda^{2-2m} \lambda^{n-2} \sum_{j=0}^{\infty} c_{n,j} (\lambda|y-u|)^{2j} =: \lambda^{n-2m} \tilde{F}(\lambda|y-u|),$$

where $|c_j| \lesssim \frac{1}{j!}$. This proves that \tilde{F} is entire and with bounded derivatives for $|r| \lesssim 1$. Since $\cos(r) \geq \frac{1}{2}$ for $|r| \ll 1$ we can write

$$\tilde{F}(r)\chi(r) = e^{ir} \frac{\chi(r)\tilde{F}(r)}{2\cos(r)} + e^{-ir} \frac{\chi(r)\tilde{F}(r)}{2\cos(r)}.$$

For $|r| \gtrsim 1$, using the representation (ignoring constants)

$$[R_0^+ - R_0^-](\lambda^2)(y, u) = \left(\frac{\lambda}{|y-u|}\right)^{\frac{n}{2}-1} (e^{i\lambda|y-u|}\omega_+(\lambda|y-u|) + e^{-i\lambda|y-u|}\omega_-(\lambda|y-u|)),$$

where $\omega_{\pm}(r) = \tilde{O}(|r|^{-1/2})$, we see that

$$\tilde{\chi}(r)\tilde{F}(r) = e^{ir}\tilde{\chi}(r)r^{1-\frac{n}{2}}\omega_+(r) + e^{-ir}\tilde{\chi}(r)r^{1-\frac{n}{2}}\omega_-(r).$$

This yields the bounds for $|r| \gtrsim 1$ after identifying

$$F_{\pm}(r) = \frac{\chi(r)\tilde{F}(r)}{2\cos(r)} + \tilde{\chi}(r)r^{1-\frac{n}{2}}\omega_{\pm}(r).$$

□

Remark 2.5. *The effect of λ derivatives on $F(\lambda r)$ and $F_{\pm}(\lambda r)$ can be bounded by division by λ , i.e., for all $N = 0, 1, 2, \dots$, and for all $n > 2m \geq 2$, we have*

$$(6) \quad |\partial_{\lambda}^N F(\lambda r)| \lesssim \lambda^{-N} \langle \lambda r \rangle^{\frac{n+1}{2}-2m}, \quad |\partial_{\lambda}^N F_{\pm}(\lambda r)| \lesssim \lambda^{-N} \langle \lambda r \rangle^{\frac{1-n}{2}}.$$

This is clear for F_{\pm} and also for F except when n is even, $N \geq 2m$ and $\lambda r \ll 1$, in which case the bound also holds since

$$r^N |F^{(N)}(\lambda r)| \lesssim r^N (\lambda r)^{2m-N-} \lesssim r^N (r\lambda)^{-N} = \lambda^{-N}.$$

Another corollary of Lemma 2.3 is

Corollary 2.6. *Let $E(\lambda)(r) := \mathcal{R}_0^+(\lambda^{2m})(r) - \mathcal{R}_0^+(0)(r)$. Then, for $\lambda r \ll 1$, we have*

$$|\partial_{\lambda}^N E(\lambda)(r)| \lesssim \lambda^{1-N} r^{2m-n+1}, \quad N = 0, 1, 2, \dots$$

When $\lambda r \gtrsim 1$, we have

$$|E(\lambda)(r)| \lesssim r^{\frac{1-n}{2}} \lambda^{\frac{n+1}{2}-2m} + r^{2m-n}, \quad \text{and}$$

$$|\partial_\lambda^N E(\lambda)(r)| \lesssim r^{\frac{1-n}{2}+N} \lambda^{\frac{n+1}{2}-2m}, \quad N = 1, 2, \dots$$

Proof. First consider the case $\lambda r \ll 1$. For $N = 0$, the claim follows from the mean value theorem and Lemma 2.3. For $N \geq 1$, again by Lemma 2.3, we have for odd n

$$\lambda^N |\partial_\lambda^N E(\lambda)| \lesssim \lambda^N r^N r^{2m-n} \lesssim \lambda r^{2m-n+1}.$$

The proof for n even is similar, using an adjustment for $N \geq 2m$ as in the remark above.

When $\lambda r \gtrsim 1$, the worst case is when the derivatives hit the exponential, which gives the inequality when $N \geq 1$. When $N = 0$ the two summands correspond to the contributions of $\mathcal{R}_0^+(\lambda^{2m})(r)$ and $\mathcal{R}_0^+(0)(r)$. \square

3. PROOF OF PROPOSITION 2.1

We say an operator K with integral kernel $K(x, y)$ is admissible if

$$\sup_{x \in \mathbb{R}^n} \int_{\mathbb{R}^n} |K(x, y)| dy + \sup_{y \in \mathbb{R}^n} \int_{\mathbb{R}^n} |K(x, y)| dx < \infty.$$

By the Schur test, it follows that an operator with admissible kernel is bounded on $L^p(\mathbb{R}^n)$ for all $1 \leq p \leq \infty$. We are now ready to prove Proposition 2.1.

Proof of Proposition 2.1. Using the representations in Lemma 2.3 and Lemma 2.4 with $r_1 = |x - z_1|$ and $r_2 := |z_2 - y|$ we see that $K(x, y)$ is the difference of

$$(7) \quad K_\pm(x, y) = \int_{\mathbb{R}^{2n}} \frac{v(z_1)v(z_2)}{r_1^{n-2m}} \int_0^\infty e^{i\lambda(r_1 \pm r_2)} \chi(\lambda) \lambda^{n-1} \Gamma(\lambda)(z_1, z_2) F(\lambda r_1) F_\pm(\lambda r_2) d\lambda dz_1 dz_2.$$

We write

$$K(x, y) =: \sum_{j=1}^4 K_j(x, y),$$

where the integrand in K_1 is restricted to the set $r_1, r_2 \lesssim 1$, in K_2 to the set $r_1 \approx r_2 \gg 1$, in K_3 to the set $r_2 \gg \langle r_1 \rangle$, in K_4 to the set $r_1 \gg \langle r_2 \rangle$. We define $K_{j,\pm}$ analogously.

Using the bounds of Lemmas 2.3 and 2.4 for $\lambda r \ll 1$, we bound the contribution of $|K_{1,\pm}(x, y)|$ by

$$\int_{\mathbb{R}^{2n}} \frac{v(z_1)v(z_2)\chi_{r_1, r_2 \lesssim 1}}{r_1^{n-2m}} \tilde{\Gamma}(z_1, z_2) dz_1 dz_2.$$

Therefore

$$\int |K_{1,\pm}(x, y)| dx \lesssim \| |\cdot|^{2m-n} \|_{L^1(B(0,1))} \|v\|_{L^2}^2 \| \tilde{\Gamma} \|_{L^2 \rightarrow L^2} \lesssim 1,$$

uniformly in y . Similarly, provided that $2m < n < 4m$,

$$\int |K_{1,\pm}(x, y)| dy \lesssim \| \tilde{\Gamma} \|_{L^2 \rightarrow L^2} \|v\|_{L^2} \|v(\cdot)|x - \cdot|^{2m-n}\|_{L^2} \lesssim 1$$

holds uniformly in x . When $n \geq 4m$, we use the decay bound (3) on $\tilde{\Gamma}$ to obtain

$$\int |K_{1,\pm}(x, y)| dy \lesssim \int \langle z_1 \rangle^{-n} \langle z_2 \rangle^{-n} r_1^{2m-n} dz_1 dz_2 \lesssim 1,$$

which implies that K_1 is admissible.

For K_2 , we restrict ourself to $K_{2,-}$ since the $+$ sign is easier to handle. We integrate by parts twice in the λ integral when $\lambda|r_1 - r_2| \gtrsim 1$ (using (6) and the definition of $\tilde{\Gamma}$) and estimate directly when $\lambda|r_1 - r_2| \ll 1$ to obtain

$$\begin{aligned} |K_{2,-}(x, y)| &\lesssim \int_{\mathbb{R}^{2n}} \frac{v(z_1)\tilde{\Gamma}(z_1, z_2)v(z_2)\chi_{r_1 \approx r_2 \gg 1}}{r_1^{n-2m}} \int_0^\infty \chi(\lambda)\lambda^{n-1}\chi(\lambda|r_1 - r_2|)\langle \lambda r_1 \rangle^{1-2m} d\lambda dz_1 dz_2 \\ &+ \int_{\mathbb{R}^{2n}} \frac{v(z_1)\tilde{\Gamma}(z_1, z_2)v(z_2)\chi_{r_1 \approx r_2 \gg 1}}{r_1^{n-2m}} \int_0^\infty \frac{\chi(\lambda)\lambda^{n-3}\tilde{\chi}(\lambda|r_1 - r_2|)\langle \lambda r_1 \rangle^{1-2m}}{|r_1 - r_2|^2} d\lambda dz_1 dz_2 \\ &\lesssim \int_{\mathbb{R}^{2n}} \frac{v(z_1)\tilde{\Gamma}(z_1, z_2)v(z_2)\chi_{r_1 \approx r_2 \gg 1}}{r_1^{n-2m}} \int_0^\infty \frac{\chi(\lambda)\lambda^{n-1}\langle \lambda r_1 \rangle^{1-2m}}{\langle \lambda(r_1 - r_2) \rangle^2} d\lambda dz_1 dz_2. \end{aligned}$$

Therefore, passing to the polar coordinates in x integral (centered at z_1) and noting $1 - 2m < 0$, we have

$$\begin{aligned} \int |K_{2,-}(x, y)| dx &\lesssim \int_{\mathbb{R}^{2n}} \int_0^1 \int_{r_1 \approx r_2 \gg 1} v(z_1)\tilde{\Gamma}(z_1, z_2)v(z_2) \frac{\lambda^{n-2m}}{\langle \lambda(r_1 - r_2) \rangle^2} dr_1 d\lambda dz_1 dz_2 \\ &\lesssim \int_{\mathbb{R}^{2n}} \int_0^1 \int_{\mathbb{R}} v(z_1)\tilde{\Gamma}(z_1, z_2)v(z_2) \frac{\lambda^{n-2m-1}}{\langle \eta \rangle^2} d\eta d\lambda dz_1 dz_2 \lesssim 1, \end{aligned}$$

uniformly in y . In the second line we defined $\eta = \lambda(r_1 - r_2)$ in the r_1 integral and used $n - 2m - 1 \geq 0$. Since $r_1 \approx r_2$, the integral in y can be bounded uniformly in x and hence the contribution of K_2 is admissible. We now consider the contribution of

$$(8) \quad K_{4,\pm}(x, y) = \int_{\mathbb{R}^{2n}} \frac{v(z_1)v(z_2)\chi_{r_1 \gg \langle r_2 \rangle}}{r_1^{n-2m}} \int_0^\infty e^{i\lambda(r_1 \pm r_2)} F(\lambda r_1)\chi(\lambda)\Gamma(\lambda)(z_1, z_2)\lambda^{n-1}F_\pm(\lambda r_2) d\lambda dz_1 dz_2.$$

When $\lambda r_1 \lesssim 1$, using (6), we bound $|F_\pm(\lambda r_2)|, |F(\lambda r_1)| \lesssim 1$ and estimate the λ integral by $r_1^{-n}\tilde{\Gamma}(z_1, z_2)$, whose contribution to K_4 is bounded by

$$\int_{\mathbb{R}^{2n}} \frac{v(z_1)v(z_2)\tilde{\Gamma}(z_1, z_2)\chi_{r_1 \gg \langle r_2 \rangle}}{r_1^{n+1}} dz_1 dz_2.$$

Which, by Lemma 4.1, is admissible.

When $\lambda r_1 \gtrsim 1$, we integrate by parts $N = \lceil n/2 \rceil + 1$ times (using (6)) to obtain the bound

$$\begin{aligned} &\frac{1}{|r_1 \pm r_2|^N} \int_0^\infty \left| \partial_\lambda^N [F(\lambda r_1)\tilde{\chi}(\lambda r_1)\chi(\lambda)\lambda^{n-1}\Gamma(\lambda)(z_1, z_2)F_\pm(\lambda r_2)] \right| d\lambda \\ &\lesssim r_1^{-N} \sum_{0 \leq j_1 + j_2 + j_3 + j_4 \leq N, j_i \geq 0} \int_{\frac{1}{r_1}}^1 \lambda^{\frac{n+1}{2} - 2m - j_1} r_1^{\frac{n+1}{2} - 2m} \lambda^{n-1-j_2} \left| \partial_\lambda^{j_3} \Gamma(\lambda)(z_1, z_2) \right| \frac{\lambda^{-j_4}}{\langle \lambda r_2 \rangle^{\frac{n-1}{2}}} d\lambda \end{aligned}$$

$$\begin{aligned}
 &\lesssim r_1^{\frac{n+1}{2}-2m-N} \tilde{\Gamma}(z_1, z_2) \sum_{0 \leq j_1+j_2+j_3+j_4 \leq N, j_i \geq 0} \int_{\frac{1}{r_1}}^1 \lambda^{\frac{3n-1}{2}-2m-j_1-j_2-j_3-j_4} d\lambda \\
 &\lesssim r_1^{\frac{n+1}{2}-2m-N} \tilde{\Gamma}(z_1, z_2) \int_{\frac{1}{r_1}}^1 \lambda^{\frac{3n-1}{2}-2m-N} d\lambda \lesssim r_1^{\frac{n+1}{2}-2m-N} \log(r_1) \tilde{\Gamma}(z_1, z_2).
 \end{aligned}$$

In the last inequality we noted that $\frac{3n-1}{2} - 2m - N \geq -1$, so the λ integral is either bounded or grows like $\log(r_1) \lesssim r_1^{0-}$ for $r_1 \ll 1$. Noting that $\lceil \frac{n}{2} \rceil + \frac{n+1}{2} \geq n + \frac{1}{2}$, the contribution of this to (8) is

$$\lesssim \int_{\mathbb{R}^{2n}} \frac{v(z_1)v(z_2)\chi_{r_2 \gg \langle r_2 \rangle}}{r_1^{n+\frac{1}{2}-}} dz_1 dz_2.$$

By Lemma 4.1, this is admissible.

We now consider K_3 , which is the most challenging case. Using (5) in (4), we write

$$\begin{aligned}
 (9) \quad K_3(x, y) &= \int_{\mathbb{R}^{2n}} \chi_{r_2 \gg \langle r_1 \rangle} v(z_1)v(z_2) \\
 &\quad \int_0^\infty \lambda \chi(\lambda) \mathcal{R}_0^+(\lambda^{2m})(r_1) \Gamma(\lambda)(z_1, z_2) [R_0^+(\lambda^2) - R_0^-(\lambda^2)](r_2) d\lambda dz_1 dz_2.
 \end{aligned}$$

We write

$$\mathcal{R}_0^+(\lambda^{2m}) = \mathcal{R}_0^+(0) + [\mathcal{R}_0^+(\lambda^{2m}) - \mathcal{R}_0^+(0)] =: G_0 + E(\lambda),$$

$$\Gamma(\lambda) = \Gamma(0) + [\Gamma(\lambda) - \Gamma(0)] =: \Gamma(0) + \Gamma_1(\lambda).$$

Here $G_0 = \mathcal{R}_0^+(0) = c_{n,m} r_1^{n-2m}$. By considering $\mathcal{R}_0^+(\lambda^{2m})\Gamma(\lambda)$ as a perturbation of $\mathcal{R}_0^+(0)\Gamma(0)$, we can show the kernel is admissible and capture the endpoint, $p = 1, \infty$, boundedness. We first consider the contribution of $G_0\Gamma(0)$ to K_3 :

$$\int_{\mathbb{R}^{2n}} \chi_{r_2 \gg \langle r_1 \rangle} v(z_1)v(z_2) G_0(r_1) \Gamma(0)(z_1, z_2) \int_0^\infty \lambda \chi(\lambda) [R_0^+(\lambda^2) - R_0^-(\lambda^2)](r_2) d\lambda dz_1 dz_2.$$

Identifying the λ integral as a constant multiple of the kernel of $\chi(\sqrt{-\Delta})$, we may bound it as $O(\langle r_2 \rangle^{-N})$ for all N since $\chi(|\xi|)$ is Schwartz. Therefore, we have the bound

$$\int_{\mathbb{R}^{2n}} \chi_{r_2 \gg \langle r_1 \rangle} v(z_1)v(z_2) r_1^{2m-n} r_2^{-n-1} \tilde{\Gamma}(z_1, z_2) dz_1 dz_2,$$

which is admissible by Lemma 4.1.

It remains to consider the contributions of $\mathcal{R}_0^+(\lambda^{2m})\Gamma_1(\lambda)$ and of $E(\lambda)\Gamma(0)$. The former can be written as

$$\int_{\mathbb{R}^{2n}} \frac{v(z_1)v(z_2)\chi_{r_2 \gg \langle r_1 \rangle}}{r_1^{n-2m}} \int_0^\infty e^{i\lambda(r_1 \pm r_2)} F(\lambda r_1) \chi(\lambda) \lambda^{n-1} \Gamma_1(\lambda)(z_1, z_2) F_\pm(\lambda r_2) d\lambda dz_1 dz_2.$$

When $\lambda r_2 \ll 1$, using $|\Gamma_1(\lambda)| \lesssim \lambda \tilde{\Gamma}$, which follows from the mean value theorem, and (6) to directly integrating in λ , we obtain the bound

$$\int_{\mathbb{R}^{2n}} \frac{v(z_1)v(z_2)\chi_{r_2 \gg \langle r_1 \rangle}}{r_1^{n-2m} r_2^{n+1}} \tilde{\Gamma}(z_1, z_2) dz_1 dz_2,$$

which is admissible by Lemma 4.1. When $\lambda r_2 \gtrsim 1$, integrating by parts $N = \lceil n/2 \rceil + 1$ times, we have the bound

$$(10) \quad \int_{\mathbb{R}^{2n}} \frac{v(z_1)v(z_2)\chi_{r_2 \gg \langle r_1 \rangle}}{r_1^{n-2m}|r_1 \pm r_2|^N} \int_0^\infty \left| \partial_\lambda^N [F(\lambda r_1)\chi(\lambda)\tilde{\chi}(\lambda r_2)\lambda^{n-1}\Gamma_1(\lambda)(z_1, z_2)F_\pm(\lambda r_2)] \right| d\lambda dz_1 dz_2.$$

We estimate the λ integral by (noting that $\lambda^{j_3}|\partial_\lambda^{j_3}\Gamma_1| \lesssim \lambda \tilde{\Gamma}$ and using (6))

$$\begin{aligned} &\lesssim r_2^{-\frac{n-1}{2}} \tilde{\Gamma}(z_1, z_2) \sum_{0 \leq j_1+j_2+j_3+j_4 \leq N, j_i \geq 0} \int_{\frac{1}{r_2}}^1 \langle \lambda r_1 \rangle^{\frac{n+1}{2}-2m} \lambda^{-j_1} \lambda^{n-1-j_2} \lambda^{1-j_3} \lambda^{-\frac{n-1}{2}-j_4} d\lambda \\ &\lesssim r_2^{-\frac{n-1}{2}} \tilde{\Gamma}(z_1, z_2) \int_{\frac{1}{r_2}}^1 \langle \lambda r_1 \rangle^{\frac{n+1}{2}-2m} \lambda^{\frac{n+1}{2}-\lceil \frac{n}{2} \rceil -1} d\lambda \\ &\lesssim r_2^{-\frac{n-1}{2}} \tilde{\Gamma}(z_1, z_2) \left(\int_{\frac{1}{r_2}}^{\min(\frac{1}{r_1}, 1)} \lambda^{\frac{n}{2}-\lceil \frac{n}{2} \rceil -\frac{1}{2}} d\lambda + \int_{\min(\frac{1}{r_1}, 1)}^1 r_1^{\frac{n+1}{2}-2m} \lambda^{\lceil \frac{n}{2} \rceil -2m} d\lambda \right). \end{aligned}$$

Note that $n - \lceil \frac{n}{2} \rceil = \lfloor \frac{n}{2} \rfloor$ is used in the final integral. The first integral is at most $\log(r_2)$. Since $\frac{n-1}{2} + N \geq n + \frac{1}{2}$, its contribution to (10) is at most

$$\int_{\mathbb{R}^{2n}} \frac{v(z_1)v(z_2)\chi_{r_2 \gg \langle r_1 \rangle}}{r_1^{n-2m} r_2^{n+\frac{1}{2}}} \tilde{\Gamma}(z_1, z_2) dz_1 dz_2,$$

which is admissible by Lemma 4.1. Similarly, the second integral is bounded by r_1^{n-2m} after multiplying the integrand by $(\lambda r_1)^{\frac{n-1}{2}}$. Contribution of this to (10) is at most

$$\int_{\mathbb{R}^{2n}} \frac{v(z_1)v(z_2)\chi_{r_2 \gg \langle r_1 \rangle}}{r_2^{n+\frac{1}{2}}} \tilde{\Gamma}(z_1, z_2) dz_1 dz_2,$$

which, by Lemma 4.1, is also admissible.

We now consider the contribution of $E(\lambda)\Gamma(0)$:

$$(11) \quad \int_{\mathbb{R}^{2n}} v(z_1)v(z_2)\chi_{r_2 \gg \langle r_1 \rangle} \bar{\Gamma}(0)(z_1, z_2) \int_0^\infty e^{\pm i\lambda r_2} E(\lambda)(r_1)\chi(\lambda)\lambda^{n-1} F_\pm(\lambda r_2) d\lambda dz_1 dz_2.$$

Using Lemma 2.3 and Corollary 2.6 when $\lambda r_1 \ll 1$. Using this when $\lambda r_2 \ll 1$ and using $|\Gamma(0)(z_1, z_2)| \leq \tilde{\Gamma}(z_1, z_2)$, we bound (11) by direct estimate by

$$\int_{\mathbb{R}^{2n}} \frac{v(z_1)v(z_2)\chi_{r_2 \gg \langle r_1 \rangle} \tilde{\Gamma}(z_1, z_2)}{r_1^{n-2m-1} r_2^{n+1}} dz_1 dz_2,$$

which is admissible by Lemma 4.1 since $n - 2m \geq 1$.

When $\lambda r_2 \gtrsim 1$ and $\lambda r_1 \ll 1$, we integrate by parts $N = \lceil n/2 \rceil + 1$ times to obtain

$$\int_{\mathbb{R}^{2n}} r_2^{-N} v(z_1)v(z_2)\chi_{r_2 \gg \langle r_1 \rangle} \tilde{\Gamma}(z_1, z_2) \int_0^\infty \left| \partial_\lambda^N [E(\lambda)(r_1)\chi(\lambda)\chi(\lambda r_1)\tilde{\chi}(\lambda r_2)\lambda^{n-1} F_\pm(\lambda r_2)] \right| d\lambda dz_1 dz_2.$$

Using Corollary 2.6 and (6), we bound this by

$$\begin{aligned} &\int_{\mathbb{R}^{2n}} r_2^{-N} r_1^{1+2m-n} v(z_1)v(z_2)\chi_{r_2 \gg \langle r_1 \rangle} \tilde{\Gamma}(z_1, z_2) \int_{r_2^{-1}}^1 \lambda^{n-\lceil n/2 \rceil -1} (\lambda r_2)^{\frac{1-n}{2}} d\lambda dz_1 dz_2 \\ &\lesssim \int_{\mathbb{R}^{2n}} r_2^{-n-\frac{1}{2}} r_1^{1+2m-n} v(z_1)v(z_2)\chi_{r_2 \gg \langle r_1 \rangle} \tilde{\Gamma}(z_1, z_2) dz_1 dz_2, \end{aligned}$$

which is again admissible by Lemma 4.1.

It remains to consider the case $\lambda r_1 \gtrsim 1$. Integrating by parts once we rewrite the λ integral in (11) as

$$(12) \quad \frac{1}{r_2} \int_0^\infty e^{\pm i\lambda r_2} \partial_\lambda [E(\lambda)(r_1)] \tilde{\chi}(\lambda r_1) \chi(\lambda) \lambda^{n-1} F_\pm(\lambda r_2) d\lambda$$

$$(13) \quad + \frac{1}{r_2} \int_0^\infty e^{\pm i\lambda r_2} E(\lambda)(r_1) \partial_\lambda [\tilde{\chi}(\lambda r_1) \chi(\lambda) \lambda^{n-1} F_\pm(\lambda r_2)] d\lambda.$$

For the second integral, (13), we integrate by parts $N = \lceil \frac{n}{2} \rceil$ more times using (6), to obtain the bound

$$\frac{1}{r_2^{N+\frac{n}{2}+\frac{1}{2}}} \sum_{j_1+j_2 \leq N, 0 \leq j_1, j_2} \int_{r_1^{-1}}^1 |\partial_\lambda^{j_1} [E(\lambda)(r_1)]| \lambda^{\frac{n-3}{2}-j_2} d\lambda.$$

Using Corollary 2.6 we bound this by

$$\lesssim \frac{1}{r_2^{N+\frac{n}{2}+\frac{1}{2}}} \left[\int_{r_1^{-1}}^1 r_1^{2m-n} \lambda^{\frac{n-3}{2}-N} d\lambda + \sum_{j_1+j_2 \leq N, 0 \leq j_1, j_2} \int_{r_1^{-1}}^1 r_1^{j_1+\frac{1-n}{2}} \lambda^{n-2m-1-j_2} d\lambda \right].$$

The first integral takes care of the additional term that arises in Corollary 2.6 (for $\lambda r \gtrsim 1$) in the case $j_1 = 0$. Letting $\{n/2\} = n/2 - \lfloor n/2 \rfloor$, we bound this by

$$\lesssim \frac{r_1^{\{n/2\}+\frac{1}{2}+2m-n} + r_1^{\{n/2\}+\frac{1}{2}}}{r_2^{n+\{n/2\}+\frac{1}{2}}} \lesssim \frac{r_1^{\{n/2\}+\frac{1}{2}}}{r_2^{n+\{n/2\}+\frac{1}{2}}},$$

whose contribution is admissible by Lemma 4.2 since $r_2 \gg \langle r_1 \rangle$.

For the first integral, (12), we integrate by parts $N = \lceil \frac{n}{2} \rceil$ more times after pulling out the phase $e^{i\lambda r_1}$ to obtain the bound

$$\frac{1}{r_2^{\frac{n}{2}+\frac{1}{2}} |r_1 \pm r_2|^N} \sum_{j_1+j_2 \leq N, 0 \leq j_1, j_2} \int_{r_1^{-1}}^1 |\partial_\lambda^{j_1} [\tilde{E}(\lambda)(r_1)]| \lambda^{\frac{n-1}{2}-j_2} d\lambda$$

$$\tilde{E}(\lambda)(r_1) := e^{-i\lambda r_1} \partial_\lambda [E(\lambda)(r_1)]$$

Using Corollary 2.6, we bound this by

$$\begin{aligned} & \frac{1}{r_2^{n+\{n/2\}+\frac{1}{2}}} \sum_{j_1+j_2 \leq N, 0 \leq j_1, j_2} \int_{r_1^{-1}}^1 r_1 \frac{(\lambda r_1)^{\frac{n+1}{2}-2m}}{r_1^{n-2m}} \lambda^{\frac{n-1}{2}-j_1-j_2} d\lambda \\ & \lesssim \frac{1}{r_2^{n+\{n/2\}+\frac{1}{2}} r_1^{\frac{n-3}{2}}} \int_{r_1^{-1}}^1 \lambda^{\frac{n}{2}-2m-\{n/2\}} d\lambda \lesssim \frac{1}{r_2^{n+\{n/2\}+\frac{1}{2}}}, \end{aligned}$$

which is admissible by Lemma 4.1. □

4. TECHNICAL LEMMAS

It remains only to prove Lemma 2.2 stating that the operators $\Gamma_k(\lambda)$ defined in (2) satisfy the bounds needed to apply Proposition 2.1. This follows, with some modifications, from the discussion preceding Lemma 3.5 in [3]. For the convenience of the reader, we sketch the argument here. In addition, we will state and prove two lemmas on admissible kernels that were used in the proof of Proposition 2.1.

We write n_* to denote $n + 4$ if n is odd and $n + 3$ if n is even. The bounds in Lemma 2.3 and Corollary 2.6 imply that the operator R_j with kernel

$$(14) \quad R_j(x, y) := v(x)v(y) \sup_{0 < \lambda < 1} |\lambda^{\max(0, j-1)} \partial_\lambda^j \mathcal{R}_0^+(\lambda^{2m})(x, y)|$$

satisfies

$$R_j(x, y) \lesssim v(x)v(y) (|x - y|^{2m+1-n} + |x - y|^{j - (\frac{n-1}{2})}), \quad j \geq 1,$$

$$R_0(x, y) \lesssim v(x)v(y) (|x - y|^{2m-n} + |x - y|^{-(\frac{n-1}{2})}).$$

Therefore, R_j is bounded on $L^2(\mathbb{R}^n)$ for $0 \leq j \leq \lceil \frac{n}{2} \rceil + 1$ provided that $|V(x)| \lesssim \langle x \rangle^{-\beta}$ for some $\beta > n_*$. Indeed, when $n < 4m$, it follows because R_j is Hilbert-Schmidt. Also the second term in the bounds above is always Hilbert-Schmidt. When $n \geq 4m$, we identify $|x - y|^{2m-n}$ (similarly $|x - y|^{2m+1-n}$) as a multiple of the fractional integral operator $I_{2m} : L^{2, \sigma} \rightarrow L^{2, -\sigma}$. Using the decay of $v(x)v(y)$ and identifying $\sigma = \frac{\beta}{2}$ suffices to apply the Propositions 3.2 and 3.3 in [7] and establish boundedness on L^2 .

Similarly, $\mathcal{E}(\lambda) := v[\mathcal{R}_0^+(\lambda^{2m}) - \mathcal{R}_0^+(0)]v$ satisfies by the discussion above and Corollary 2.6 that

$$\|\mathcal{E}(\lambda)\|_{L^2 \rightarrow L^2} \lesssim \lambda.$$

Now, we define the operator

$$T_0 := U + v\mathcal{R}_0^+(0)v = M^+(0).$$

By the assumption that zero energy is regular, T_0 is invertible, see e.g. [4]. Note that by a Neumann series expansion and the invertibility of T_0 we have

$$[M^+(\lambda)]^{-1} = \sum_{k=0}^{\infty} (-1)^k T_0^{-1} (\mathcal{E}(\lambda) T_0^{-1})^k.$$

The series converges in the operator norm on L^2 for sufficiently small λ . By the resolvent identity the operator $\partial_\lambda^N [M^+(\lambda)]^{-1}$ is a linear combination of operators of the form

$$[M^+(\lambda)]^{-1} \prod_{j=1}^N [v(\partial_\lambda^{N_j} \mathcal{R}_0^+(\lambda^{2m}))v[M^+(\lambda)]^{-1}],$$

where $\sum N_j = N$ and each $N_j \geq 1$. From the discussion above on R_j 's this representation implies that

$$(15) \quad \sup_{0 < \lambda < \lambda_0} \lambda^{\max(0, N-1)} |\partial_\lambda^N [M^+(\lambda)]^{-1}(x, y)|$$

is bounded in L^2 for $N = 0, 1, \dots, \lceil \frac{n}{2} \rceil + 1$ provided that $\beta > n_*$.

Recalling the definition of $\Gamma_k(\lambda)$, (2), and noting the L^2 boundedness of R_j 's above we see that

$$\sup_{0 < \lambda < \lambda_0} \lambda^{\max(0, N-1)} |\partial_\lambda^N (Uv\mathcal{R}_0^+(\lambda^{2m})(V\mathcal{R}_0^+(\lambda^{2m}))^{k-1}v)(x, y)|$$

is bounded on L^2 . This yields Lemma 2.2 when $2m < n < 4m$.

When $n \geq 4m$ we need stronger bounds on the kernel of $\Gamma_k(\lambda)$. We write the iterated resolvents

$$(16) \quad A(\lambda, z_1, z_2) = [(\mathcal{R}_0^+(\lambda^{2m})V)^{k-1}\mathcal{R}_0^+(\lambda^{2m})](z_1, z_2).$$

For odd $n > 4m$. If $k-1$ is sufficiently large depending on n, m and $|V(x)| \lesssim \langle x \rangle^{-\frac{n_*}{2}}$, then

$$\sup_{0 < \lambda < 1} |\lambda^{\max\{0, \ell-1\}} \partial_\lambda^\ell A(\lambda, z_1, z_2)| \lesssim \langle z_1 \rangle^2 \langle z_2 \rangle^2,$$

for $0 \leq \ell \leq \frac{n+3}{2} = \lceil \frac{n}{2} \rceil + 1$. This follows from the pointwise bounds on R_j above. The iteration of the resolvents smooths out the local singularity $|x - \cdot|^{2m-n}$. Each iteration improves the local singularity by $2m$, so that after j iterations the local singularity is of size $|x - \cdot|^{2mj-n}$. Selecting $k-1$ large enough ensures that the local singularity is completely integrated away. See [3] for more details. For even $n \geq 4m$ we get a better bound since we need fewer derivatives:

$$\sup_{0 < \lambda < 1} |\lambda^{\max(0, \ell-1)} \partial_\lambda^\ell A(\lambda, z_1, z_2)| \lesssim \langle z_1 \rangle^{\frac{3}{2}} \langle z_2 \rangle^{\frac{3}{2}},$$

for $0 \leq \ell \leq \frac{n+2}{2} = \lceil \frac{n}{2} \rceil + 1$.

Finally, recalling that

$$\Gamma_k(\lambda) = UvA(\lambda)vM^{-1}(\lambda)vA(\lambda)vU$$

yields Lemma 2.2 when $n \geq 4m$.

The following lemmas were used frequently in the proof of Proposition 2.1:

Lemma 4.1. *Let K be an operator with integral kernel $K(x, y)$ that satisfies the bound*

$$|K(x, y)| \lesssim \int_{\mathbb{R}^{2n}} \frac{v(z_1)v(z_2)\tilde{\Gamma}(z_1, z_2)\chi_{\{|y-z_2| \gg \langle z_1-x \rangle\}}}{|x-z_1|^{n-2m-k}|z_2-y|^{n+\ell}} dz_1 dz_2$$

for some $0 \leq k \leq 2m-n$ and $\ell > 0$. Then, under the hypotheses of Lemma 2.1, the kernel of K is admissible, and consequently K is a bounded operator on $L^p(\mathbb{R}^n)$ for all $1 \leq p \leq \infty$.

Proof. We first consider integration in y ,

$$\int_{\mathbb{R}^n} |K(x, y)| dy \lesssim \int_{\mathbb{R}^{2n}} \frac{v(z_1)v(z_2)\tilde{\Gamma}(z_1, z_2)\chi_{\{|y-z_2|\gg\langle z_1-x\rangle\}}}{|x-z_1|^{n-2m-k}} \int_{\mathbb{R}^n} \frac{\chi_{\{|y-z_2|\gg\langle z_1-x\rangle\}}}{|z_2-y|^{n+\ell}} dy dz_1 dz_2.$$

Writing the y integral in polar coordinates centered at z_2 , and noting that $|z_2-y| \gtrsim 1$, we bound this by

$$\begin{aligned} \int_{\mathbb{R}^n} |K(x, y)| dy &\lesssim \int_{\mathbb{R}^{2n}} \frac{v(z_1)v(z_2)\tilde{\Gamma}(z_1, z_2)}{|x-z_1|^{n-2m-k}} \int_1^\infty r^{-1-\ell} dr dz_1 dz_2 \\ &\lesssim \int_{\mathbb{R}^{2n}} \frac{v(z_1)v(z_2)\tilde{\Gamma}(z_1, z_2)}{|x-z_1|^{n-2m-k}} dz_1 dz_2 \end{aligned}$$

If $2m < n < 4m$, then the singularity in z_1 is locally L^2 and one bounds this as

$$\int_{\mathbb{R}^n} |K(x, y)| dy \lesssim \|v(\cdot)|x-\cdot|^{k+2m-n}\|_2 \|\tilde{\Gamma}\|_{2\rightarrow 2} \|v(z_2)\|_2 \lesssim 1,$$

uniformly in x . If $n \geq 4m$, one has

$$\int_{\mathbb{R}^n} |K(x, y)| dy \lesssim \int_{\mathbb{R}^{2n}} \frac{v(z_1)v(z_2)\langle z_1\rangle^{-\frac{n}{2}}\langle z_2\rangle^{-\frac{n}{2}}}{|x-z_1|^{n-2m-k}} dz_1 dz_2 \lesssim \langle x\rangle^{k+2m-n} \lesssim 1,$$

uniformly in x since $k+2m-n \leq 0$.

Next, integration in x follows identically when $|x-z_1| \gtrsim 1$ noting that since $|y-z_2| \gg |z_1-x|$ we have

$$\frac{1}{|x-z_1|^{n-2m-k}|z_2-y|^{n+\ell}} \leq \frac{1}{|x-z_1|^{n+\ell}|z_2-y|^{n-2m-k}}.$$

If $|x-z_1| < 1$, we use polar co-ordinate in x centered at z_1 to bound with

$$\begin{aligned} \int_{\mathbb{R}^n} |K(x, y)| dx &\lesssim \int_{\mathbb{R}^{2n}} \frac{v(z_1)v(z_2)\tilde{\Gamma}(z_1, z_2)\chi_{\{|y-z_2|\gg 1\}}}{|z_2-y|^{n+\ell}} \int_0^1 r^{2m+k-1} dr dz_1 dz_2 \\ &\lesssim \int_{\mathbb{R}^{2n}} v(z_1)v(z_2)\tilde{\Gamma}(z_1, z_2) dz_1 dz_2. \end{aligned}$$

Which is bounded uniformly in y . □

We also need the following bound.

Lemma 4.2. *Let K be an operator with integral kernel $K(x, y)$ that satisfies the bound*

$$|K(x, y)| \lesssim \int_{\mathbb{R}^{2n}} \frac{v(z_1)v(z_2)\tilde{\Gamma}(z_1, z_2)\chi_{\{|y-z_2|\gg\langle z_1-x\rangle\}}|x-z_1|^\ell}{|z_2-y|^{n+\ell}} dz_1 dz_2$$

for some $\ell > 0$. Then, under the hypotheses of Lemma 2.1, the kernel of K is admissible, and consequently K is a bounded operator on $L^p(\mathbb{R}^n)$ for all $1 \leq p \leq \infty$.

Proof. Without loss of generality, we may assume that $|x - z_1| > 1$. If not, we may bound $|x - z_1|^\ell \lesssim 1$ and apply Lemma 4.1. We consider the y integral first and use polar co-ordinates centered at z_2 to see

$$\begin{aligned} \int_{\mathbb{R}^n} |K(x, y)| dy &\lesssim \int_{\mathbb{R}^{2n}} v(z_1)v(z_2)\tilde{\Gamma}(z_1, z_2)|x - z_1|^\ell \int_{|x-z_1|}^{\infty} r^{-1-\ell} dz_1 dz_2 \\ &\lesssim \int_{\mathbb{R}^{2n}} v(z_1)v(z_2)\tilde{\Gamma}(z_1, z_2) dz_1 dz_2 \lesssim 1. \end{aligned}$$

The bound holds uniformly in x .

For the x integral, we use polar co-ordinates centered at z_1 to see

$$\begin{aligned} \int_{\mathbb{R}^n} |K(x, y)| dx &\lesssim \int_{\mathbb{R}^{2n}} \frac{v(z_1)v(z_2)\tilde{\Gamma}(z_1, z_2)\chi_{\{|y-z_2| \gg \langle z_1-x \rangle\}}}{|z_2 - y|^{n+\ell}} \int_0^{|z_2-y|} r^{n+\ell-1} dr dz_1 dz_2 \\ &\lesssim \int_{\mathbb{R}^{2n}} v(z_1)v(z_2)\tilde{\Gamma}(z_1, z_2) dz_1 dz_2 \lesssim 1, \end{aligned}$$

uniformly in y . □

REFERENCES

- [1] S. Agmon, *Spectral properties of Schrödinger operators and scattering theory*. Ann. Scuola Norm. Sup. Pisa Cl. Sci. (4) 2 (1975), no. 2, 151–218.
- [2] M. B. Erdoğan, M. Goldberg, and W. R. Green, *Counterexamples to L^p boundedness of wave operators for classical and higher order Schrödinger operators*, preprint 2022, arXiv:2206.12929.
- [3] M. B. Erdoğan, and W. R. Green, *The L^p -continuity of wave operators for higher order Schrödinger operators*, Adv. Math. 404 (2022), Paper No. 108450.
- [4] H. Feng, A. Soffer, Z. Wu, and X. Yao, *Decay estimates for higher order elliptic operators*, Trans. Amer. Math. Soc. 373 (2020), no. 4, 2805–2859.
- [5] D. Finco, and K. Yajima, *The L^p boundedness of wave operators for Schrödinger operators with threshold singularities II. Even dimensional case*. J. Math. Sci. Univ. Tokyo 13 (2006), no. 3, 277–346.
- [6] M. Goldberg, and W. Green, *On the L^p boundedness of the Wave Operators for fourth order Schrödinger operators*, Trans. Amer. Math. Soc. 374 (2021), 4075–4092.
- [7] M. Goldberg, and M. Visan. *A Counterexample to Dispersive Estimates*. Comm. Math. Phys. 266 (2006), no. 1, 211–238.
- [8] L. Hörmander, *The existence of wave operators in scattering theory*. Math. Z. 146 (1976), no. 1, 69–91.
- [9] S. Kuroda, *Scattering theory for differential operators. I*. J. Math. Soc. Japan 25 (1973), 75–104.
- [10] S. Kuroda, *Scattering theory for differential operators. II. Self-adjoint elliptic operators*. J. Math. Soc. Japan 25 (1973), 222–234.
- [11] H. Mizutani, Z. Wan, and X. Yao, *L^p -boundedness of wave operators for fourth-order Schrödinger operators on the line*, preprint, 2022. arXiv:2201.04758
- [12] M. Reed and B. Simon. *Methods of Modern Mathematical Physics III: Scattering Theory*, Academic Press, New York, NY, 1972.

- [13] M. Schechter, *Scattering theory for pseudodifferential operators*, Quart. J. Math. Oxford Ser. (2) 27 (1976), no. 105, 111–121.
- [14] M. Schechter, *Scattering theory for elliptic operators of arbitrary order*. Comment. Math. Helv. 49 (1974), 84–113.
- [15] K. Yajima, *The $W^{k,p}$ -continuity of wave operators for Schrödinger operators*. J. Math. Soc. Japan 47 (1995), no. 3, 551–581.
- [16] K. Yajima, *The $W^{k,p}$ -continuity of wave operators for Schrödinger operators. II. Positive potentials in even dimensions $m \geq 4$* . Spectral and scattering theory (Sanda, 1992), 287–300, Lecture Notes in Pure and Appl. Math., 161, Dekker, New York, 1994.
- [17] K. Yajima, *The $W^{k,p}$ -continuity of wave operators for Schrödinger operators. III. Even-dimensional cases $m \geq 4$* . J. Math. Sci. Univ. Tokyo 2 (1995), no. 2, 311–346.
- [18] K. Yajima, *The L^p boundedness of wave operators for Schrödinger operators with threshold singularities I. The odd dimensional case*. J. Math. Sci. Univ. Tokyo 13 (2006), 43–94.

DEPARTMENT OF MATHEMATICS, UNIVERSITY OF ILLINOIS, URBANA, IL 61801, U.S.A.

Email address: `berdogan@illinois.edu`

DEPARTMENT OF MATHEMATICS, ROSE-HULMAN INSTITUTE OF TECHNOLOGY, TERRE HAUTE, IN 47803, U.S.A.

Email address: `green@rose-hulman.edu`